

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Gerhard Jaehne et alApplication No./Patent No. 10/692,725 Filed/Issue Date: October 27, 2003Entitled: Derivatives Of C2-Substituted Indan-1-OL Systems For The Prophylaxis Or Treatment of ObesityAventis Pharma Deutschland GmbH

(Name of Assignee)

, a Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

States that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the parent application (10,230,379 filed on august 29, 2002) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 013567, Frame 0864, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
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Reel _____ Frame _____ or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

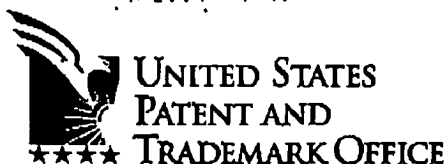
- ☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

11/4/04
Date

Barbara E. Kurys
Typed or printed name
Barbara E. Kurys
Signature
Attorney/Agent for Applicant
Title

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Alexandria, VA 22313.
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



APRIL 15, 2003

PTAS

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Under Secretary of Commerce For Intellectual Property and
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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/10/2002

REEL/FRAME: 013567/0864
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

JAEHNE, GERHARD

DOC DATE: 11/05/2002

ASSIGNOR:

KRONE, VOLKER

DOC DATE: 11/11/2002

ASSIGNOR:

BICKEL, MARTIN

DOC DATE: 11/15/2002

ASSIGNOR:

GOSSEL, MATTHIAS

DOC DATE: 11/15/2002

ASSIGNEE:

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FED REP
GERMANY

SERIAL NUMBER: 10230379

PATENT NUMBER:

FILING DATE: 08/29/2002

ISSUE DATE:

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013567/0864 PAGE 2

JEEVON JONES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

12-17-2002

Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)		REC		102313497		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.							
1. Name of conveying party(ies): Gerhard JAEHNE Volker KRONE Martin BICKEL Matthias GOSSEL Additional name of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				2. Name and address of receiving party(ies) Name: AVENTIS PHARMA DEUTSCHLAND GmbH Internal Address: <u>12-10-02</u> Street Address: 65929 Frankfurt am Main, GERMANY City: _____ State: _____ Zip: _____ Additional Name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name Execution Date: <u>November 5, 2002; November 11, 2002, November 15, 2002 and November 15, 2002, respectively</u>							
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution data of the application is: _____ A. Patent Application No.(s) <u>10/230,379</u> B. Patent No.(s)				Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning this document should be mailed: Name: <u>Patricia D. Granados</u> Internal Address: <u>Heller Ehrman White & McAuliffe LLP</u> Street Address: <u>1666 K Street, NW</u> <u>Suite 300</u> City: <u>Washington</u> State: <u>DC</u> Zip: <u>20006</u>				6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41)\$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>08/1641</u> (Attach duplicate copy of this page if paying by deposit account)			
12/16/2002 LRUeller 00000099 10230378 01 FC:8021 40.00 DP DO NOT USE THIS SPACE							
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. <u>Patricia D. Granados</u> <u>December 10, 2002</u> Name of Person Signing Signature Date Total number of pages including cover sheet, attachments, and documents: <u>3</u> Mail documents to be recorded with required cover sheet information to:							

Attorney Docket No.: 38005-0171

ASSIGNMENT AND AGREEMENT

WHEREAS, Gerhard Jaehne, Volker Krone, Martin Bickel and Matthias Gossel (hereinafter referred to singly and collectively as "ASSIGNOR") have invented a certain invention entitled **DERIVATIVES OF C2-SUBSTITUTED INDAN-1-OL SYSTEMS FOR THE PROPHYLAXIS OR TREATMENT OF OBESITY** for which an application for United States Letters Patent was filed on August 29, 2002 as Application No. 10/230,379; and

WHEREAS, Aventis Pharma Deutschland GmbH, having its principal place of business at 65929 Frankfurt am Main, Germany (hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire interest therein;

NOW THEREFORE, in view of good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto ASSIGNEE, its successors and assigns, the full and exclusive right, title, and interest in and to (a) the above-identified invention or inventions and all improvements and modifications thereof, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for the above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representatives or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and

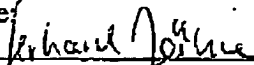

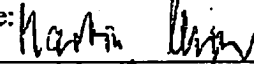

Attorney Docket No.: 38005-0171

protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of **Heller Ehrman White & McAuliffe** the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of **Heller Ehrman White & McAuliffe** do not personally represent ASSIGNOR or ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

NAMES AND SIGNATURES OF INVENTORS		
Name: Gerhard Jaehne	Signature: 	Date: 5.11.02
Name: Volker Krone	Signature: 	Date: 14.11.02
Name: Martin Bickel	Signature: 	Date: 15.11.02
Name: Matthias Gossel	Signature: 	Date: 15.11.02
NAMES AND SIGNATURES OF WITNESSES		
Name:	Signature:	Date:
Name:	Signature:	Date:
Name:	Signature:	Date:
Name:	Signature:	Date: